# Dependency Parsing with Bounded Block Degree and Well-nestedness via Lagrangian Relaxation and Branch-and-Bound

Caio Corro, Joseph Le Roux, Mathieu Lacroix, Antoine Rozenknop and Roberto Wolfler-Calvo

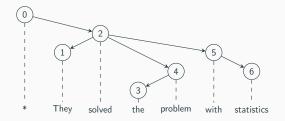
August 7-12

Université Paris 13 - LIPN

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## Dependency trees

- Association of each word of sentence with a vertex
- Dependency tree: spanning tree rooted at 0



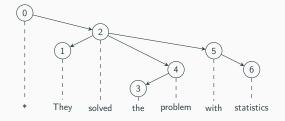
#### Dependency parsing

- Set of valid dependency trees for sentence x:  $Y_x$
- Arc factored model:  $score(y) = \sum_{a \in Y} score(a)$
- Dependency parsing:  $\hat{y}_x = \arg \max_{y \in Y_x} score(y)$

1.Introduction 2/2

# Dependency trees

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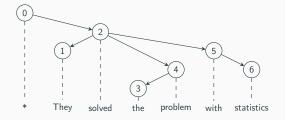
Structural properties [Bodirsky et al. 2009; Kuhlmann 2010]

Non-projective ← Projective

1.Introduction 2 / 2:

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1.Introduction 2 / 2

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	WN	₩N	WN	₩N	WN	₩N	
BD 1	92.26		67.60		69.13		
BD 2	7.58	0.12	27.12	0.79	28.50	0.08	
BD 3	0.12	0.01	3.86	0.30	2.24	0.01	
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	Spanish (UD)		Portuguese (UD)				
	WN	₩N	WN	₩N			
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1.Introduction 3 / 2

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• Blue: Projective dependency trees

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• Blue + Purple:  $\approx 99\%$  of the dependency trees

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- Blue: Projective dependency trees
- Blue + Purple:  $\approx 99\%$  of the dependency trees
- Blue + Purple + Red: Non-projective dependency trees

1.Introduction

#### Motivations

#### Observation

- Projective parsing: does not correctly cover datasets
- Non-projective parsing: produce invalid structures

#### **Problem**

• WN and k-BBD parsing: no tractable algorithm

#### Contribution

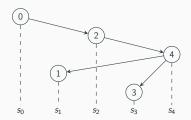
• First efficient parsing algorithm based on Lagrangian Relaxation

1.Introduction 4 / 2:

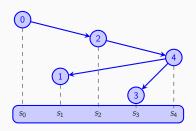
#### **Outline**

- 1.Introduction
- 2. Dependency tree characterization
- 3. Existing parsing algorithms
- 4. Novel characterization based on arc-sets
- 5. Efficient parsing with fine-grained constraints
- 6. Experiments
- 7. Conclusion

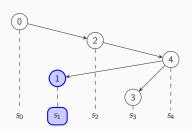
1.Introduction 5 / 2



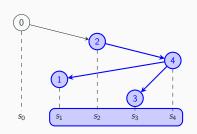
**Yield** of a node v: set of all nodes reachable from v



 $\textit{Yield}(0) = \{0, 1, 2, 3, 4\}$ 



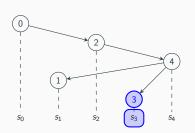
$$Yield(0) = \{0, 1, 2, 3, 4\}$$
  
 $Yield(1) = \{1\}$ 



$$Yield(0) = \{0, 1, 2, 3, 4\}$$

$$Yield(1) = \{1\}$$

$$Yield(2) = \{1, 2, 3, 4\}$$

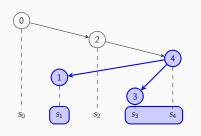


$$Yield(0) = \{0, 1, 2, 3, 4\}$$

$$Yield(1) = \{1\}$$

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$$Yield(3) = \{3\}$$



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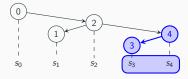
$$Yield(3) = \{3\}$$

$$Yield(4) = \{3, 4\}$$

# Structural properties of dependencies

#### Projective dependency trees

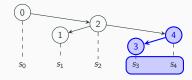
 $\Rightarrow$  Trees with contiguous yields only



# Structural properties of dependencies

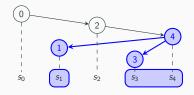
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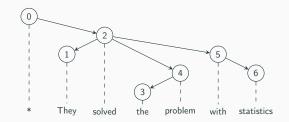
#### Non-projective dependency trees

⇒ Unconstrained trees

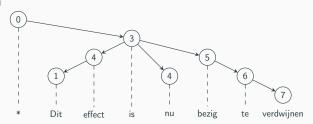


## Example: Projective dependency trees

#### • English

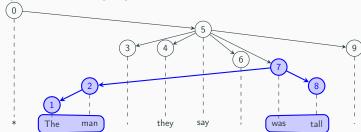


#### Dutch

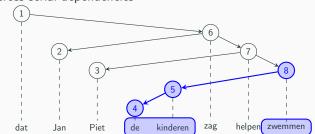


## Example: Non-projective dependency trees

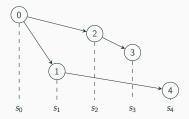
• English: surrounding argument



• Dutch: cross-serial dependencies

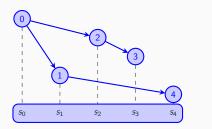


- BD of a vertex: number of contiguous intervals described by its yield
- BD of a tree: the maximal block degree of its vertices
- k-BBD tree: tree with a BD less or equal to k



Tree of block degree 2

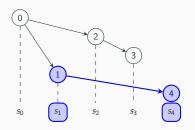
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Yield(0) = [0...4] BD(0) = 1

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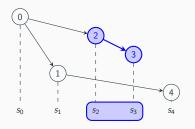
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$$Yield(0) = [0...4]$$
  $BD(0) = 1$   $Yield(1) = [1] \cup [4]$   $BD(1) = 2$ 

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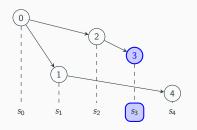
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$$\textit{Yield}(1) = [1] \cup [4] \qquad \qquad \textit{BD}(1) = 2$$

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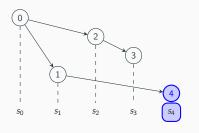


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Yield(3) = [3] BD(3) = 1

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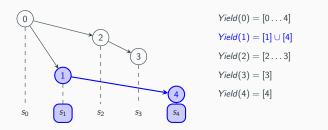


$\textit{Yield}(0) = [0 \dots 4]$	BD(0) = 3
$\textit{Yield}(1) = [1] \cup [4]$	BD(1) = 2
$Yield(2) = [2 \dots 3]$	BD(2) = 3
Yield(3) = [3]	BD(3) = 3
Yield(4) = [4]	BD(4) = 3

Tree of block degree 2

#### k-Bounded Block Degree (k-BBD)

- BD of a vertex: number of contiguous intervals described by its yield
- BD of a tree: the maximal block degree of its vertices
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Tree of block degree 2

BD(0) = 1

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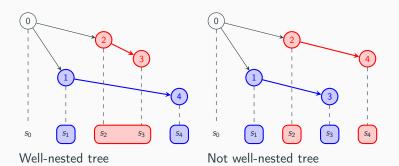
BD(3) = 1

BD(4) = 1

# Structural properties (2/2): WN

#### Well-nestedness (WN)

- Interleaving sets  $I_1, I_2$ : there exist  $i, j \in I_1$  and  $k, l \in I_2$  such that i < k < j < l
- Well-nested tree: does not contain two vertices whose yields are disjoint and interleave



## Parsing algorithms

#### Complexity (arc-factored)

```
Non-projective O(n^2) [McDonald et al. 2005]
Projective O(n^3) [Eisner 2000]
WN + 2-BBD O(n^7) [Gómez-Rodríguez et al. 2009]
WN + k-BBD, k \ge 2 O(n^{5+2(k-1)}) [Gómez-Rodríguez et al. 2009]
```

#### Remark

Projective ⇔ 1-BBD and WN

#### **Tractability**

- Non-projective and projective: tractable
- WN + k-BBD: not tractable

#### Integer Linear Program for non-projective parsing

 $z \in R^A$ : incidence vector such that  $z_a = 1$  iff arc a is in the tree.

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$$\max_{z} \sum_{a \in A} score(a) \times z_{a}$$
 Arc-factored model (1)

s.t. 
$$\sum_{\mathbf{a} \in \delta^{\mathrm{in}}(\mathbf{v})} z_{\mathbf{a}} = 1 \qquad \forall \mathbf{v} \in V^{+} \qquad \textit{One head/word} \qquad \qquad (2)$$

$$\sum_{a \in \delta^{\text{in}}(W)} z_a \ge 1 \qquad \forall W \subseteq V^+ \qquad \textit{Connectedness} \tag{3}$$

$$z \in \{0,1\}^A$$
 Integrality (4)

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#### Efficient decoding

In practice: (directed) *Maximum Spanning Tree* (MST) algorithm [Schrijver 2003; McDonald et al. 2005]

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#### Problem enhancement

⇒ Integrating fine-grained structural constraints ?

## k-Bounded Block Degree Constraint

#### Definition

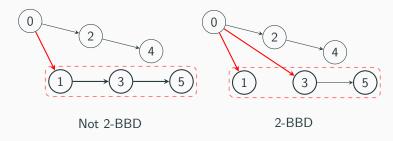
 $\mathcal{W}^{k+1}$ : vertex subsets describing at least k+1 non-adjacent intervals

## k-Bounded Block Degree Constraint

#### Definition

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Example with k = 2 and  $\{1, 3, 5\} \in \mathcal{W}^3$ 



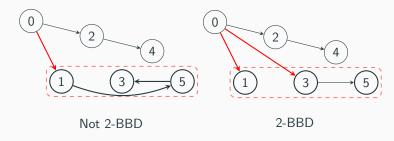
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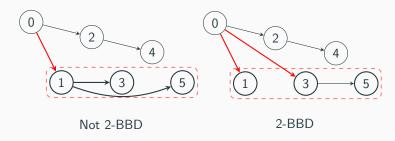
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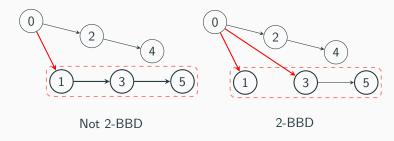
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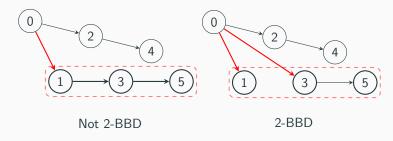
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Example with k = 2 and  $\{1, 3, 5\} \in \mathcal{W}^3$ 



 $\rightarrow$ : arcs adjacent to the vertex subset  $\{1,3,5\}$ 

#### Constraint

For each vertex subset  $W \in \mathcal{W}^{\geq k+1} \Rightarrow$  At least two adjacent arcs

### Well-nestedness constraint

#### **Definition**

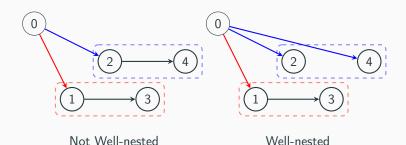
 $\mathcal{I}$ : family of couples of disjoint interleaving vertex subsets

### Well-nestedness constraint

#### **Definition**

 $\mathcal{I}$ : family of couples of disjoint interleaving vertex subsets

Example with  $(\{1,3\},\{2,4\}) \in \mathcal{I}$ 



$$\textit{Yield}(1) = \{\textcolor{red}{1}, \textcolor{red}{3}\}$$

$$Yield(2) = \{2, 4\}$$

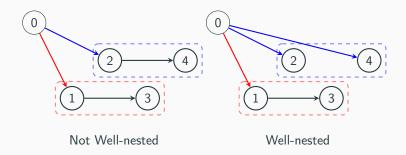
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### Well-nestedness constraint

#### **Definition**

 $\mathcal{I}$ : family of couples of disjoint interleaving vertex subsets

**Example with**  $(\{1,3\},\{2,4\}) \in \mathcal{I}$ 



#### Constraint

For each couple  $(I_1, I_2) \in \mathcal{I} \Rightarrow \text{At least two adjacent arcs for } I_1 \text{ or } I_2$ 

## Full ILP: parsing with k-BBD and WN constraints

$$\max_{z} \sum_{a \in A} score(a) \times z_a$$
 Arc-factored (5)

s.t.
$$z \in Z$$
 Non-projective (6)

$$\sum_{\mathbf{a} \in \delta(W)} z_{\mathbf{a}} \ge 2 \qquad \forall W \in \mathcal{W}^{\ge k+1} \qquad k\text{-BBD}$$
 (7)

$$\sum_{a \in \delta(I_1)} z_a + \sum_{a \in \delta(I_2)} z_a \ge 3 \qquad \forall (I_1, I_2) \in \mathcal{I} \qquad WN$$
 (8)

#### **Problem**

- MST: k-BBD and WN constraints can not be integrated
- Generic solver: exponential number of constraints
- Polynomial algorithm: intractable [Gómez-Rodríguez et al. 2009]

### Solving the ILP

 $\Rightarrow$  Lagrangian Relaxation applied on constraints (7)-(8)

# Lagrangian Relaxation

### Lagrangian Dual Problem

$$\min_{u\geq 0} \max_{z\in Z} L(z,u)$$

#### Efficient minimization of the dual

- Min: Subgradient descent
- Max: Maximum Spanning Tree
- Many relaxed constraints: Non Delayed Relax-and-Cut

### Efficient maximization of the primal

- Branch-and-Bound
- Problem reduction (exact pruning technique)

# Distribution of dependency tree characteristics

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• Blue: Projective dependency trees

• Blue + Purple:  $\approx 99\%$  of the dependency trees

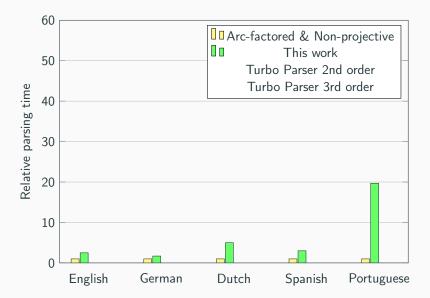
6. Experiments

# UAS (Ratio of correct arcs)



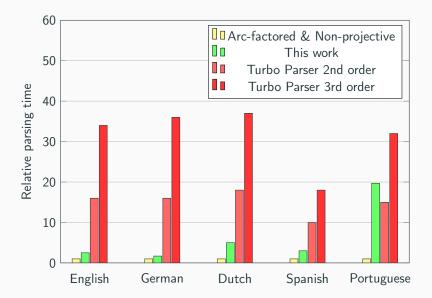
6. Experiments 19 / 21

## Efficiency: Relative parsing time



6. Experiments 20 / 21

# Efficiency: Relative parsing time



6. Experiments 20 / 21

# Conclusion: k-BBD and WN dependency parsing

#### Our contribution

- Novel characterization based on arc sets only
- The first efficient and flexible algorithm:
  - k-BBD with arbitrary k
     WN optional
     Tunable for different languages/properties
- First experimental results with K-BBD and WN parsing

### Surprising observation

• Does not improve UAS under an arc-factored model

### **Perspectives**

- LTAG derivation parsing (2-BBD and WN)
- Parsing lexicalized mildly context sensitive languages

7. Conclusion 21 / 2



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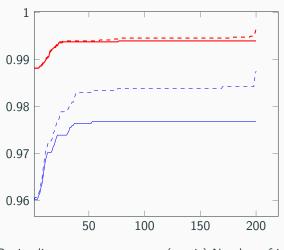
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# Lagrangian Relaxation: Optimality Rate



(y-axis) Optimality rate(blue) English(solid) With certificate

(x-avis) Number of iterations (red) German (dashed) Without